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**STATEMENT UNDER 37 CFR 3.73(b)****Applicant/Patent Owner: SMITHKLINE BEECHAM CORPORATION****Application No./Patent No.: PCT/US2004/022706 Filed/Issue Date: 15 July 2004****Entitled: NOVEL COMPOUNDS****SMITHKLINE BEECHAM CORPORATION, a corporation, states that it is:**

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_% in the patent application/patent identified above by virtue of either;
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- OR
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

10 January 2006  
\_\_\_\_\_  
Date

STEPHEN VENETIANER  
\_\_\_\_\_  
Printed or Typed Name

610-270-5040  
\_\_\_\_\_  
Telephone Number

Vice President, Pharmaceuticals  
\_\_\_\_\_  
Title

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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A/D 581-40

ASSIGNMENT

WHEREAS we, DENNIS LEE of 709 Swedeland Road, King of Prussia, Pennsylvania 19406, United States of America, a citizen of Canada and the United States of America; JOSEPH P. MARINO of 709 Swedeland Road, King of Prussia, Pennsylvania 19406, United States of America, a citizen of the United States of America; and YONGDONG ZHAO of 709 Swedeland Road, King of Prussia, Pennsylvania 19406, United States of America, a citizen of the Peoples Republic of China; have made an invention entitled:

"NOVEL COMPOUNDS"


for which on July 12, 13 and 14, 2004 we executed an application for Letters Patent of the United States under 35 U.S.C. §111(a), executed an application for Letters Patents of the United States under 35 U.S.C. §371, or filed a Provisional application under 35 U.S.C. §111(b):

NOW, THEREFORE, in return for valuable consideration paid to us by SmithKline Beecham Corporation, a corporation organized under the laws of the Commonwealth of Pennsylvania and having its principal place of business at One Franklin Plaza, 200 North 16th Street, Philadelphia, Pennsylvania 19103, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound, we do hereby assign unto the said SmithKline Beecham Corporation, its successors and assigns, the entire right, title and interest in and to the said invention, executed under 35 U.S.C. §111(a), 35 U.S.C. §371, or filed under 35 U.S.C. §111(b) application, and from which priority may be claimed from one or more 35 U.S.C. §111(b) applications, or any division, continuation and continuation-in-part of said application, and all Letters Patent of the United States and all foreign countries to be obtained therefor;


We further assign to the said SmithKline Beecham Corporation the right, optionally in its own name or in the names of its related companies, to apply for, obtain and maintain in all countries foreign to the United States, patent and/or Utility Model applications for said invention, including the full right to claim for any such application the benefits of any priority rights based on said executed United States application;

And we agree to execute further instruments (including provisional, divisional, continuation, continuation-in-part or reissue applications or other instruments) proper to effectuate the premises, this agreement to be binding upon our heirs, executors and administrators;

And we request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue Letters Patent in accordance herewith.

  
DENNIS LEE

Date: July 12, 2004

  
JOSEPH P. MARINO

Date: July 13, 2004

  
YONGDONG ZHAO

Date: 07/14/04